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10/23/00 JCS93 U.S. PTO

PATENT

Docket No. JCLA6009

Date: 10-23-2000

Page 1

JCS916 U.S. PTO 09/695429 10/23/00

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

ATTENTION: APPLICATION BRANCH

Sir:

Transmitted herewith for filing is the patent application of

Inventor: Chun-Yang Hsiao; Shui-Po Ho

For: ULTRASONIC SIGNALING INTERACTIVE TOY

Enclosed are:

- (x) Specification 12 pages.
- (x) 7 Sheets of drawings
- (x) Recordation Form Cover sheet with 2 pages assignment.
- () A certified copy of Taiwan Patent Application No. _____ dated _____.
- (x) **SIGNED** declaration and power of attorney.
- (x) Verified statement (Declaration) claiming small entity status.
- (x) Return Prepaid postcard.

CLAIMS AS FILED

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
Basic Fee			\$355	\$ 355
Total Claims	12	-20 =0 x	\$9	\$ 0
Independent Claims	1	-3 =0 x	\$40	\$ 0
If application contains any multiple dependent claim (s), then add			\$135	\$ 0
TOTAL FILING FEE				\$ 355

- (x) A check in the amount of \$355 cover the filing fee is enclosed.
- (x) A check in the amount of \$40 to cover the assignment recording fee.
- (x) A duplicate copy of this sheet is enclosed.

Jiawei Huang

Jiawei Huang

Registration No. 43,330

Applicant or Patentee: Elan Microelectronics Corp.

Attorney's Docket No.: _____

Serial or Patent No.: _____

Filed or Issued: _____

Title: ULTRASONIC SIGNALING INTERACTIVE TOY

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

I hereby declare that I am

☐ the owner of the small business concern identified below:

☐ an official of the small business empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Elan Microelectronics Corp.

ADDRESS OF SMALL CONCERN 7F.-1, No. 9, Chan-Yeh I Rd., Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C.

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the business concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled ULTRASONIC SIGNALING INTERACTIVE TOY by inventor(s) (1) Chun-Yang Hsiao (2) Shui-Po Ho described in

☐ the specification filed herewith

☐ application serial no. _____, filed _____

☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING I-Hau Yeh

TITLE OF PERSON IF OTHER THAN OWNER Representative

ADDRESS OF PERSON SIGNING 7F.-1, No. 9, Chan-Yeh I Rd., Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C.

SIGNATURE _____

DATE _____

4/10/00

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Assistant Commissioner for Patents
Washington, D.C. 20231

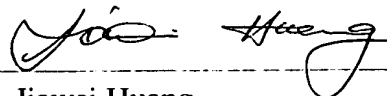
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Docket No. : JCLA 6009
Applicant(s) : Chun-Yang Hsiao; Shui-Po Ho
For : ULTRASONIC SIGNALING
INTERACTIVE TOY
"Express Mail" : EL609897754US
Mailing Label No.
Date of Deposit : October 23, 2000

I hereby certify that the accompanying

Transmittal in Duplicate; Specification 12 pages, 7 sheets of drawings;
SIGNED Declaration and Power of Attorney 2 pages; Recordation Form Cover
Sheet and Assignment 3 pages; Verified Statement (Declaration) Claiming Small
Entity Status 1 page; Checks for Filing Fee(s); Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office
to Addressee" service under 37 CFR 1.10 on the date indicated above and are
addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Jiawei Huang